Form **8949**

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1, 2, 3, 8, 9, and 10 of Schedule D.

2012 Attachment Sequence No. 12A

OMB No. 1545-0074

Name(s) shown on return

Social security number or taxpayer identification number

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Short-Term. Transactions involving capital assets you held one year or less are short term. For long-term transactions, see page 2.

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column</i> (e) in the separate instructions	See the separate instructions.		(h) Gain or (loss). Subtract column (e)
					(f) Code(s) from instructions	(g) Amount of adjustment	from column (d) and combine the result with column (g)
700 BROCADE CO NEW	08/17/2012	08/23/2012	4,063.87	4,081.75		0	-18
400 CISCO SYST	01/23/2012	03/16/2012	8,385.59	7,842.91		0	543
900 INVESCO MO	03/22/2012	03/28/2012	16,685.14	15,688.40		0	997
600 INVESCO MO	03/22/2012	03/28/2012	11,123.42	10,581.06		0	542
400 INVESCO MO	03/22/2012	03/28/2012	7,301.87	6,972.62		0	329
200 INVESCO MO	03/22/2012	03/28/2012	3,650.93	3,498.95		0	152
600 INVESCO MO	03/20/2012	03/21/2012	10,944.82	11,066.95	W	122.13	0
301 MERU NETWO	02/02/2012	03/20/2012	1,390.62	1,325.67		0	65
98 MERU NETWO	02/02/2012	03/20/2012	448.81	431.61		0	17
301 MERU NETWO	02/02/2012	03/20/2012	1,384.60	1,325.67		0	59
2 Tatala Add the execute in a liver	one (d) (e) (c) =	od (b) (oubtroot					
2 Totals. Add the amounts in columnegative amounts). Enter each is Schedule D, line 1 (if Box A abovabove is checked), or line 3 (if Box	otal here and in e is checked), li	clude on your ne 2 (if Box B	65,379.67	62,815.59		122.13	2,686

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column* (g) in the separate instructions for how to figure the amount of the adjustment.

Form 8949 (2012) Attachment Sequence No. 12A Page 2

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side.)

Social security number or taxpayer identification number

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS
- (B) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Long-term transactions not reported to you on Form 1099-B

(O) Long term transactions	not reported	i to you on i	эпп 1000 Б				
(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column</i> (e) in the separate instructions	See the separate instructions.		Gain or (loss). Subtract column (e) from column (d) and
					(f) Code(s) from instructions	(g) Amount of adjustment	combine the result with column (g)
1000 XOMA CORPO	06/13/2011	09/06/2012	3,536.85	2,552.85		0	984
4 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8 (if Box A above is checked), line 9 (if Box B above is checked), or line 10 (if Box C above is checked)		3,536.85	2,552.85		0	984	

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column* (g) in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1, 2, 3, 8, 9, and 10 of Schedule D.

2012 Attachment Sequence No. 12A

OMB No. 1545-0074

Name(s) shown on return

Social security number or taxpayer identification number

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Short-Term. Transactions involving capital assets you held one year or less are short term. For long-term

Short-Term. Transactions involving capital assets you held one year or less are short term. For long-term transactions, see page 2.

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column</i> (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e)
					(f) Code(s) from instructions	(g) Amount of adjustment	from column (d) and combine the result with column (g)
50 CALL BRCD BROCADE CO	08/16/2012	08/17/2012	EXPIRED	417.46		0	-417
2 CALL CRM SALESFORCE	08/23/2012	09/13/2012	2,191.08	2,198.86		0	-8
30 CALL CSCO CISCO SYST	08/15/2012	08/16/2012	2,985.98	763.88		0	2,222
25 CALL RVBD RIVERBED T	04/20/2012	09/21/2012	3,636.88	7,212.86		0	-3,576
15 CISCO SYST JAN 21	02/10/2011	01/20/2012	3,543.78	4,410.22		0	-866
7 MONSTER WO JAN 21	05/02/2011	01/23/2012	EXPIRED	5,049.65		0	-5,050
30 PUT C1 CITIGROU	01/26/2011	05/14/2012	3,119.44	6,553.74		0	-3,434
50 PUT CIM CHIMERA IN	03/22/2012	04/09/2012	1,986.88	2,017.22		0	-30
50 PUT CIM CHIMERA IN	03/26/2012	04/09/2012	1,986.88	1,517.23		0	470
2 Totals. Add the amounts in column negative amounts). Enter each to Schedule D, line 1 (if Box A above above is checked), or line 3 (if Box Note. If you checked Box A above by State of State	otal here and in e is checked), li C above is chec	ne 2 (if Box B ked) .	19,450.92	30,141.12		0	-10,689

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column* (g) in the separate instructions for how to figure the amount of the adjustment.

SCHEDULE D (Form 1040)

Capital Gains and Losses

OMB No. 1545-0074

Department of the Treasury Internal Revenue Service (99) ► Attach to Form 1040 or Form 1040NR.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1040. ▶ Use Form 8949 to list your transactions for lines 1, 2, 3, 8, 9, and 10.

Attachment Sequence No. 12

Name(s) shown on return Your social security number Part I Short-Term Capital Gains and Losses—Assets Held One Year or Less Complete Form 8949 before completing line 1, 2, or 3. (d) Proceeds (sales (g) Adjustments to (h) Gain or (loss) (e) Cost or other basis gain or loss from Form(s) 8949, Part I, price) from Form(s) Subtract column (e) from from Form(s) 8949. Part This form may be easier to complete if you round off cents to 8949, Part I, line 2, column (d) and combine the result with column (g) I, line 2, column (e) whole dollars. line 2, column (g) column (d) Short-term totals from all Forms 8949 with box A 65,379.67 62,815.59 122.13 2,686 Short-term totals from all Forms 8949 with box B 3 Short-term totals from all Forms 8949 with box C checked in **Part I** 19.450.92 30.141.12 0 -10.6904 4 Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824 Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from 5 Short-term capital loss carryover. Enter the amount, if any, from line 8 of your Capital Loss Carryover 6 Net short-term capital gain or (loss). Combine lines 1 through 6 in column (h). If you have any long--8,004 term capital gains or losses, go to Part II below. Otherwise, go to Part III on the back Part II Long-Term Capital Gains and Losses—Assets Held More Than One Year (d) Proceeds (sales Complete Form 8949 before completing line 8, 9, or 10. (g) Adjustments to (h) Gain or (loss) (e) Cost or other basis price) from Form(s) gain or loss from Form(s) 8949, Part II, Subtract column (e) from from Form(s) 8949, Part This form may be easier to complete if you round off cents to 8949, Part II, line 4, column (d) and combine II, line 4, column (e) whole dollars. line 4. column (a) the result with column (a) column (d) 8 Long-term totals from all Forms 8949 with box A checked in Part II 3.536.85 2.552.85 0 984 9 Long-term totals from all Forms 8949 with box B checked in Part II 10 Long-term totals from all Forms 8949 with box C checked in Part II. 11 Gain from Form 4797, Part I; long-term gain from Forms 2439 and 6252; and long-term gain or (loss) 11 12 Net long-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1 12 13 14 Long-term capital loss carryover. Enter the amount, if any, from line 13 of your Capital Loss Carryover 14 15 Net long-term capital gain or (loss). Combine lines 8 through 14 in column (h). Then go to Part III on

15

984

Schedule D (Form 1040) 2012 Page 2

Summary Part III 16 Combine lines 7 and 15 and enter the result 16 -7,020• If line 16 is a gain, enter the amount from line 16 on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 17 below. • If line 16 is a loss, skip lines 17 through 20 below. Then go to line 21. Also be sure to complete • If line 16 is zero, skip lines 17 through 21 below and enter -0- on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 22. 17 Are lines 15 and 16 both gains? ☐ **Yes.** Go to line 18. ☐ **No.** Skip lines 18 through 21, and go to line 22. 18 Enter the amount, if any, from line 7 of the 28% Rate Gain Worksheet in the instructions 18 19 Enter the amount, if any, from line 18 of the Unrecaptured Section 1250 Gain Worksheet in the 19 20 Are lines 18 and 19 both zero or blank? Tyes. Complete the Qualified Dividends and Capital Gain Tax Worksheet in the instructions for Form 1040, line 44 (or in the instructions for Form 1040NR, line 42). Do not complete lines 21 and 22 below. and 22 below. 21 If line 16 is a loss, enter here and on Form 1040, line 13, or Form 1040NR, line 14, the **smaller** of: • The loss on line 16 or 21 • (\$3,000), or if married filing separately, (\$1,500) Note. When figuring which amount is smaller, treat both amounts as positive numbers. Do you have qualified dividends on Form 1040, line 9b, or Form 1040NR, line 10b? 22 Tyes. Complete the Qualified Dividends and Capital Gain Tax Worksheet in the instructions for Form 1040, line 44 (or in the instructions for Form 1040NR, line 42). ■ No. Complete the rest of Form 1040 or Form 1040NR.